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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/872,701

06/01/2001

Steven W. Lundberg

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01/16/2009

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EXAMINER

SAEED, USMAAN

ART UNIT

PAPER NUMBER

2166

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DELIVERY MODE

01/16/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/872,701	LUNDBERG, STEVEN W.	
	<b>Examiner</b>	<b>Art Unit</b>	
	USMAAN SAEED	2166	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 16 June 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-13 and 16-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-13 and 16-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 October 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>6/16/08</u> .   | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

1. Receipt of Applicant's Amendment, filed 06/16/2008 is acknowledged.

Claims 1 and 17 have been amended.

### ***Information Disclosure Statement***

2. Applicants Information Disclosure Statement, filed 6/16/2008, has been received, entered into record and considered. See attached form PTO-1449.

### ***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 1 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Applicant amended the claim to include a "server machine" however this "server machine" is not clearly defined in the disclosure. Appropriate correction is required.

Claims 2-13 and 16-24 incorporate the deficiencies of claim 1 and are rejected for the reasons as applied hereinabove.

***Claim Rejections - 35 USC § 101***

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-13, and 16-24 are rejected under 35 U.S.C. 101 as being directed to non-statutory subject matter. The language of the claims raises a question as to whether the claims are directed merely to an environment or machine which would result in a practical application producing a concrete useful, and tangible result to form the basis of statutory subject matter under 35 U.S.C. 101.

Claims 1-13, and 16-24 are rejected because the modules recited in these claims are implemented as software routines. Therefore these claims are system of software per se, failing to fall within a statutory category of invention. The server machine recited in the amendments could be interpreted as a software program that emulates a hardware system (e.g. virtual machine) since it is not clearly defined in the disclosure section indicated by the applicant. Appropriate correction is required.

To expedite a complete examination of the instant application the claims rejected under U.S.C. 101 (nonstatutory) above are further rejected as set forth below in anticipation of application amending these claims to place them within the four categories of invention.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-13, 16-21, and 24 are rejected under 35 U.S.C 103(a) as being unpatentable over **Simpson et al. (Simpson hereinafter)** (US Patent No. 6,549,894) in view of **Cecily Anne Snyder (Snyder hereinafter)** (U.S. PG Pub No. 20020111953).

With respect to claim 1, **Simpson** teaches **a system for managing patent application data via the Internet, comprising:**

**“a matter module, the matter module operable to manage data relating to patent matters, the managed data comprising docketing data”** as (**Simpson** Col 5, Lines 5-27).

**“a tasks module, the tasks module operable to manage tasks related to each matter managed by the matter module, the tasks comprising docketing tasks”** as (**Simpson** Col 1, Lines 66-67 and Col 2, Lines 1-36).

**“a security module, the security module operable to restrict access to patent application task and matter data management to selected system users”**

as (**Simpson** Col 5, Lines 28-48). These lines teach that only authorized users are allowed to access the docketing system and each user has security access levels.

**“a messaging module operable to provide messaging to users of the system, wherein the messaging comprises automated message to particular users, the message relating to matters and tasks associated with the particular users and stored in a manner searchable and retrievable by matter”** as (**Simpson** Col 5, Lines 5-67 and Abstract). Examiner interprets the due dates in different color as being used as an alert/message to particular users who are authorized.

Further, **Simpson** teaches that certain due dates are automatically calculated in each country (such as trademark registration renewal dates, for example). When an action is completed, a removal date is entered in the Removal Date column in the row associated with the action completed. As mentioned previously, the LegalStar logo in the upper right of the screen is also color-coded. The star logo is either green, yellow or red, depending on the status of the SBD dates for that particular matter (**Simpson** Col 7, Lines 22-34 and Figure 5).

These lines and figure 5 shows alerting/messaging the users about the due dates which are automatically calculated and stored and also the star logo alerts/messages the users by changing the color.



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present invention automatically generates messages notifying users of deadlines associated with the events. The docketing messages are then automatically communicated to appropriate recipients (**Snyder Abstract**).

**“Internet access to applications”** as (**Snyder Figure 1**). Figure 1 shows that internet access to patent application matters. Snyder also teaches that particular entities are associated with particular matters.

**“a function of user roles in managed tasks and matters”** as (**Snyder Abstract and Paragraph 0039**).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because **Snyder’s** teaching would have allowed **Simpson** to provide an efficient and up to date docket management system by tracking all correspondence, communications, relevant dates and relevant events for patent applications and sending messages notifying users of deadlines associated with the events.

With respect to claim 2-4, **Simpson** teaches **“wherein managing data relating to patents matters comprises creating new patent matter data, retrieving patent matter data and editing patent matter data”** as (**Simpson Col 7, Lines 1-12**).

With respect to claim 5, **Simpson** teaches **“wherein managing tasks related to managed matters comprises automated creation of tasks”** as (**Simpson Col 3, Lines 6-8**).



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With respect to claim 6, **Simpson** teaches “**wherein managing tasks related to managed matters comprises user creation of tasks**” as (**Simpson** Col 7, Lines 1-12).

With respect to claim 7-10, **Simpson** teaches “**wherein managing tasks related to managed matters comprises retrieving tasks for a particular matter, user organization and editing tasks**” as (**Simpson** Col 7, Lines 1-12).

With respect to claim 11, **Simpson** teaches “**wherein managing tasks related to managed matters comprises viewing a calendar of tasks**” as (**Simpson** Figures 5A-5C and Figure 7).

With respect to claims 12-13 **Simpson** teaches “**wherein the security module requires a user to log in before granting access to matter or task data, and wherein the security module further grants the logged in user access only to those matters and tasks to which the user has been granted access and, wherein users are granted access to matters and tasks by the creator of each matter or task**” as (**Simpson** Col 7, Lines 1-12 and Figure 2).

14-15. (Canceled).

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With respect to claim 16, **Simpson** teaches “**wherein the messaging comprises a user sending messages to other users**” as (**Simpson** Col 3, Lines 4-17).

With respect to claim 17, **Simpson** does not explicitly teaches “**wherein the messaging module is further operable to send electronic mail (e-mail) to users.**”

However, **Snyder** discloses “**wherein the messaging module is further operable to send electronic mail (e-mail) to users**” as (**Snyder** Paragraph 0065).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because **Snyder’s** teaching would have allowed **Simpson** to provide an efficient and up to date docket management system by tracking all correspondence, communications, relevant dates and relevant events for patent applications and sending messages notifying users of deadlines associated with the events.

With respect to claim 18-20, **Simpson** does not explicitly teaches “**wherein the system comprises world wide web site, application server pages and java server pages.**”

However, **Snyder** discloses “**wherein the system comprises world wide web site, application server pages and java server pages**” as (**Snyder** Figure 1).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because **Snyder’s** teaching would have allowed **Simpson** to provide an efficient and up to date docket

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management system by tracking all correspondence, communications, relevant dates and relevant events for patent applications and sending messages notifying users of deadlines associated with the events.

With respect to claim 21, **Simpson** teaches “**wherein the system further comprises a database for managing patent application**” as (**Simpson** Abstract).

With respect to claim 24, **Simpson** teaches “**wherein multiple matters may be associated with each other, such that data relating to one of the multiple matters will be visible when viewing data relating to another of the multiple matters**” as (**Simpson** Figure 5A).

25-96. (Cancelled).

6. Claims 22-23 are rejected under 35 U.S.C 103(a) as being unpatentable over **Simpson et al.** (US Patent No. 6,549,894) in view of **Cecily Anne Snyder** (U.S. PG Pub No. 20020111953) as applied to claims 1-13, 16-21, and 24 above, further in view of **Lee et al.** (**Lee** hereinafter) (U.S. Patent No. 6,839,707).

With respect to claim 22 and 23, **Simpson and Snyder** do not explicitly teach “**wherein the system comprises multiple databases and is able to exchange data between multiple databases and synchronizing data in databases by publishing changes to one database to the other associated databases.**”

However, **Lee** discloses “**wherein the system comprises multiple databases and is able to exchange data between multiple databases**” as (**Lee** Figure 2) and “**synchronizing data in databases by publishing changes to one database to the other associated databases**” as (**Lee** Abstract and Figure 18).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of the cited references because **Lee’s** teaching would have allowed **Simpson and Snyder** to provide management tools configured to drive accountability and performance and to improve operational efficiency by providing a fully integrated on-line web based communication tool.

### ***Response to Arguments***

7. Applicant's arguments filed 6/16/2008 have been fully considered but they are not persuasive.

Applicant argues that Simpson and Snyder do not teach or suggest “**messaging as a function of user roles in managed tasks and matters.**”

In response to the preceding arguments examiner respectfully submits that Snyder teaches “**messaging as a function of user roles in managed tasks and matters**” as in response to events related to the cases which result in one or more deadlines, the present invention automatically generates messages notifying users of deadlines associated with the events. The docketing messages are then automatically communicated to appropriate recipients (**Snyder** Abstract). Examiner interprets messaging to appropriate recipients as message send to users according to their roles.

Further Snyder teaches the present invention automatically generates messages notifying users of deadlines associated with the events. The docketing messages are then automatically communicated to their appropriate recipients, who may be intellectual property practitioners (e.g., patent attorneys, agents, foreign associates, etc.) and other individuals involved in the process of securing intellectual property rights such as inventors, patent coordinators, paralegals, legal secretaries, workflow managers, and the like. In this manner, the present invention eliminates the error-prone task of generating periodic paper reports (**Snyder** Paragraph 0039).

This paragraph further discloses messages are sent to appropriate recipients according to their roles such as (attorneys, agents and foreign associates). Examiner interprets attorney as a role, who is managing specific matter or an application.

Applicant further argues that this limitation is not supported by the provisional application '360 since the filing data of Snyder is after June 2001.

In response examiner respectfully submits that provisional application '360 also teaches “**messaging as a function of user roles in managed tasks and matters**” as (Provisional application '360 pages 18, 19, 26, 30 etc...). These pages teach message being sent the appropriate attorneys, which examiner interprets as a role, based on specific matters. It also teaches messages being sent to appropriate patent office personnel according to their roles.

### ***Conclusion***

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8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

***Contact Information***

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Usmaan Saeed whose telephone number is (571)272-4046. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on (571)272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Patent Examiner  
Art Unit: 2166

Hosain Alam  
Supervisory Patent Examiner

US  
October 09, 2008

/Hosain T Alam/

Supervisory Patent Examiner, Art Unit 2166